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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/090,311	03/04/2002	Teruo Masaki	7217/66562	5487

7590 06/01/2005

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EXAMINER

SHIFERAW, ELENI A

ART UNIT	PAPER NUMBER
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2136

DATE MAILED: 06/01/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

10/090,311

Applicant(s)

MASAKI ET AL.

Examiner

Eleni A. Shiferaw

Art Unit

2136

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 7/3/2002.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-14 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-14 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 04 March 2002 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

*Detailed Action.*

1. Claims 1-14 are presented for examination.

*Claim Rejections - 35 USC § 101*

2. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

3. Claim 9 is rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. It is not tangibly embodied as it is only software per se. It is suggested that the claimed subject matter "A copyright licensing process promoting program...." should be changed to "A program stored on a computer-readable medium...".

*Claim Rejections - 35 USC § 103*

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 1-14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kim et al. (Kim, Patent Number: 5,799,081) in view of Benson (Patent No.: US 6,301,660 B1).

Art Unit: 2136

As per claims 1, 8, 9, 13, and 14, Kim teaches a copyright licensing process promoting apparatus/method/program/medium for promoting a copyright licensing process for literary work data transmitted via a communication network, comprising:

detecting means for detecting a file of the literary work data being transmitted from a terminal (Kim col. 4 lines 1-4, and col. 8 lines 11-38);

content determining means for determining whether a content of the file being transmitted and detected by said detecting means is valid (Kim col. 4 lines 1-4, and col. 8 lines 11-38); and

file processing means for performing a predetermined process for the file before the file is transmitted when a result of said content determining means is not valid (Kim col. 12 lines 52-55).

Kim discloses prohibiting digital content from being transmitted from a terminal to digital recording medium such as VCR, cassette tape or the like (Kim col. 4 lines 1-4, and col. 8 lines 11-38). Kim fails to teach prohibiting content transmission over the network.

However Benson teaches detecting, determining, and prohibiting a customer who is authorized to display a digital content from forwarding the authorized digital content to unauthorized customer (Benson col. 14 lines 56-59).

Therefore it would have been obvious to one having ordinary skill in the art at the time of the invention was made to modify the teachings of Benson within the system of Kim because they are analogous in copyright data protection (Benson col. 2 lines 18-26, and col. 9 lines 38-49). One skilled in the art would have been motivated to incorporate the teachings of Benson into

Kim because it would prevent unauthorized distribution of content illegally and protect content owners interest.

As per claim 2, both Kim and Benson teach all the subject matter as described above. In addition, Kim teaches the copyright licensing process promoting apparatus, further comprising:

searching means for searching for a location on a predetermined list, referenced via the communication network, at which the file of the literary work data is stored (Kim col. 8 lines 11-38),

wherein said detecting means detects the file being transmitted, the file being stored at the location searched by said searching means (col. 12 lines 31-60).

As per claim 3, both Kim and Benson teach all the subject matter as described above. In addition, Kim teaches the copyright licensing process promoting apparatus,

wherein when said content determining means determines that the content of the file is not valid, the content of the file is caused to be destroyed (Kim col. 12 lines 52-55).

As per claim 4, both Kim and Benson teach all the subject matter as described above. In addition, Kim teaches the copyright licensing process promoting apparatus, further comprising:

literary work data determining means for determining whether the content of the file being transmitted is literary work data (Kim col. 6 lines 36-43); and

copyright licensing process, determining means for determining whether a copyright licensing process has been performed for the literary work data (Kim col. 8 lines 17-34),

wherein said content determining means determines that the content of the file is valid corresponding to a result of said literary work data determining means and result of said copyright licensing process determining means, and said literary work data determining means represents that the content of the file is literary work data and said copyright licensing process determining means represents that the copyright licensing process has not been performed for the file (Kim col. 8 lines 17-34).

As per claim 5, both Kim and Benson teach all the subject matter as described above. In addition, Kim teaches the copyright licensing process promoting apparatus,

wherein the predetermined process performed by said file processing means is a process for destroying the file (Kim col. 12 lines 52-55).

As per claim 6, both Kim and Benson teach all the subject matter as described above. In addition, Kim teaches the copyright licensing process promoting apparatus,

wherein the predetermined process performed by said file processing means is a process for deleting the file (Kim col. 12 lines 52-55).

As per claim 7, both Kim and Benson teach all the subject matter as described above. In addition, Kim teaches the copyright licensing process promoting apparatus as set forth in claim 1, further comprising:

informing means for informing a user of the terminal unit that the content of the file is not valid when a result of said content determining means represents that the content of the file is not valid (Kim col. 12 lines 52-55),

wherein said file processing means performs the predetermined process when the terminal unit tries to transmit the file although said informing means has informed the user that the content of the file is not valid (Kim col. 12 lines 31-60).

As per claim 10, both Kim and Benson teach all the subject matter as described above. In addition, Kim teaches the copyright licensing process promoting program,

wherein the copyright licensing process promoting program is supplied from the communication network to the terminal unit (Kim col. 1 lines 6-12, and lines 25-29).

As per claim 11, both Kim and Benson teach all the subject matter as described above. In addition, Kim teaches the copyright licensing process promoting program,

wherein the copyright licensing process promoting program is built in the terminal unit (Kim col. 1 lines 25-29).

As per claim 12, both Kim and Benson teach all the subject matter as described above. In addition, Kim teaches the copyright licensing process promoting program,

wherein the copyright licensing process promoting program is recorded on a record medium along with literary work data and supplied to the terminal unit.

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Eleni A. Shiferaw whose telephone number is 571-272-3867. The examiner can normally be reached on Mon-Fri 8:00am-5:00pm.

Art Unit: 2136

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ayaz R. Sheikh can be reached on 571-272-3795. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Elem Shiferaw

May 25, 2005

  
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